



UCTE Compliance Monitoring and Enforcement Process

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Why CMEP ?

- definition of security standards in the Operation Handbook implies a procedure to recurrently monitor their compliance
- this procedure will enable the implementation of preventive measures to ensure the highest level of security in the UCTE system
- this tool aims at strengthening the transparency and credibility of TSO's performance within the community as well as towards stakeholders



UCTE security package

- Operation Handbook, CMEP and Multilateral Agreement will form a **comprehensive package** of complementary tools:
 - OH defining the standards
 - MLA-procedure in case of infringement
 - CMEP designed as a permanent preventive process



Learning from the others' experience

- NERC (US blackout recommendations)
 - make the standards mandatory and enforceable
 - redefine responsibilities
 - strengthen the (existing) compliance process
 - track the implementation of the recommendations

CMEP Processes

- compliance monitoring process = the process that monitors and measures system performance to ensure compliance with the standards of the Operation Handbook
- enforcement process = the process that evaluates the results of the compliance review and administers the distribution of non-compliance notifications
- compliance monitoring and enforcement activities are set to be run separately, but complementary

The sequence of activities

- **STANDARDS:** establishing monitoring criteria
- **MONITORING:** evaluating performance related to standards
- **ENFORCEMENT:** ensuring compliance with standards



The monitoring process will:

- define **compliance criteria / compliance levels** related to **OH standards**
- define the **frequency of the compliance process**
- define **monitoring procedure**: what information must be retained and presented
- define **competent bodies** responsible for the assessment

The enforcement process will:

- encourage full compliance with the Operation Handbook standards
- be administered in a fair and transparent way
- recognize compliance and non-compliance
- evaluate severity and frequency/duration of non-compliance
- administer distribution of non-compliance notifications (no financial penalties)
- monitor measures for coming back to compliance



Challenges: this process shall:

- be agreed by all TSOs
- be supported by the community and major stakeholders
- be implemented in the most efficient and cost effective manner and present results in an understandable and transparent way
- achieve credibility and confidence by stakeholders
- enlighten towards stakeholders structural issues non-solvable by TSOs